







United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/678,741

10/04/2000

Tadahiro Ohmi

PM 274025 EL00009CDC

Pillsbury Madison & Sutro LLP Intellectual Property Group Ninth Floor 1100 New York Avenue, NW Washington, DC 20005-3918



FORMALITIES LETTER *OC000000005596990*

Date Mailed: 12/05/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The application was filed in a language other than English.

• Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/08/2001 CVORACHA 00000002 09678741

01 FC:103 02 FC:104

180.00 OP 270.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(<u>NOT</u> PCT Applications)
For Design, Provisional, or Utility Applications

PATENT APPLICATION

		COMPLE I	110N Und 53(f)	der						
In r	In re PATENT APPLICATION of Attn: Application Division									
Inve	entor(s): OHMI, Tadahiro et	al		- DM	074005	I 51,00000 ODG				
Apr	In. No.: 609 Series Code û	678,741 Serial No. û	Firs The	PM	274025 M#	EL00009 CDC Client Ref				
File			•		14111	,				
	Title: PLASMA PROCESSING APPARATUS									
	PATENT & TRADE									
			PATENT &	TRAE						
۵	. Commisioner of Patents		Date:	January 4, 2	2001					
	shington,DC 20231		Date.	January 4, 2	.001					
Sir:		s the filing under Rule 53(f) of the a	bove-identifie	ed patent ap	plication <u>:</u>				
1.	Notice to File Missing Pa	arts 🔀 copy attach	ed	not yet re	eceived					
2.	☐ Signed Declaration atta	ached. 🔲 Original		☐ Facsimile	e/Copy	•				
	(Always "X" box 2 if filling signe	d Declaration and								
		of the Declaration is X'd and file a	ipplication (copy, or		,				
	"X" box 2B only if <u>none</u> of	f the top three boxes of the Declara	ation is X'd.	.)						
		al signed Declaration with at aim(s) originally filed to secu		•	_	im(s)) which is a copy of				
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		ication as filed in the PTO o y signing the attached Rule		_	e is the appii	cation which each				
3.	Specification originally	filed in non-English languag	je; hence	verified tran	slation attac	hed of:				
		Abstract	-4:/	l 0 ala	: \					
•		<u>48</u> pages of Specific □ Drawing(s) 13	ation(on	iy spec. & cia	ııms)					
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		☑ Fig(s). <u>1 - 15</u>	<u>. :</u>			•				
4.	4. Letter filing <u>formal</u> drawing attached.									
5. Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.										
6.	_	NAL priority is claimed und								
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	Application No.	Filing Date	6 = 5	Application	on No.	Filing Date				
•	(1) PCT/JP00/03365	May 25, 2000	(2)							
	(3) (5)		(4)							
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	. (9)	1.(0)	
7.	FOREIGN priority is clain	ned under 35 USC 119(a)-(d)/365(b) based on filing in	_JAPAN

	Application No.	Filing Date	Application No.	Filing Date
(1)	11-186258	May 26, 1999	(2)	
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9. (No.) Certified co in U.S. Application		⊕⊠•attache /	d;∂ □ prev filed on	riously filed (date)				
10. Small Entity Status Ø	⊠ is <u>N</u>	<u>lot</u> claimed	. 	(file PAT-256 if t tity Status)	his is the first cl	aim of		
11.				,				
12. Preliminary Amendm	ent:							
THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED								
Large/Small Fe Entity C de								
13. Basic Filing Fee			ign Application ign Application	\$320/\$160 \$710/\$355	+	106/26 101/201		
14. Total Effective Claims	30	minus 20 =	10	x \$18/\$9	+180	103/203		
15. Independent Claims	3	minus 3 =	0	x \$80/\$40	+0	102/202		
16. If <u>any proper</u> multiple depe (Leave this line <u>blank</u> if this is a			is present,	\$270/\$135	+270	104/204		
17. Surcharge for filing Declara	ation/filing fee la	ate		\$130/\$65	+0	105/205		
18.			FILING FE	ENCLOSED =	\$450			
19. Original due date:	ebruary 5, 200	Ϊ			441			
20. Petition is hereby made t	o extend the or	iginal due date	to (1 mo)	\$110/\$55 =	+0	115/215		
cover the date this response is	filed for which	the requisite fe	e (2mos)	\$390/\$195 =		116/216		
is attached			(3mos)	\$890/\$445 =		117/217		
			(4mos)	\$1390/\$695 =		118/218		
21. If "non-English" box 3 is X'	\$130	+	139					
22. If "assignment" box 5 is X'o	d, add recording	fee		\$40	+0	581		
23. Petition Fee for				\$130	+0			
24.			TOTAL FEE	ENCLOSED =	\$450			
24. Our Deposit Account No. 03-3975 Our Order No. 40258 274025								
	C#		M#					
CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.								
Pillsbury Winthrop LLP Intellectual Property Group								
1100 New York Avenue, NW	By Atty:	∯lenn J. Pe	rr/y/ ////	Reg.	No. 28458			
Ninth Floor		Nan 1	1/1/1/ //	110000				
Washington, DC 20005-3918 Tel: (202) 861-3000	Sig:	JAMA 1		Tel:	(202) 822- (202) 861-			
Atty/Sec: GJP/mhn NOTE: File in <u>duplicate</u> with PTO r ceipt (PAT-103A) and attachments								

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

FILING COMPLETION UNDER RULE 53(f)

(<u>NOT</u> PCT Applications)
For Design, Provisional, or Utility Applications

PATENT APPLICATION

In re PATENT APPLICATION of Invenior(s): OHMI, Tadahiro et al 678,741				Rule 53(f)						
Inventor(s): OHM, Tadahiro et al Appin. No.: 09 678,741 Atty.Dkt. PM 274025 EL00009 CDC Sarlas Code 0 Serial No. 0 PLASMA PROCESSING APPARATION Title: PLASMA PROCESSING APPARATION Washington,DC 20231 Sir: The following completes the filling under Rule 53(f) of the above-identified patent application; 1. Notice to File Missing Parts										
Filed: October 4, 2000 PLASMA PROCESSING APPARATION Date: January 4, 2001 Washington, DC 20231 Sir: The following completes the filing under Rule 53(f) of the above-identified patent application; 1. Notice to File Missing Parts										
Hon. Commissioner of Patents Washington,DC 20231 Sir: The following completes the filing under Rule 53(f) of the above-identified patent application: 1. Notice to File Missing Parts			78,741	Atty.Dkt.	PM	274025	EL00009 CDC			
Hon. Commissioner of Patents Washington,DC 20231 Sir: The following completes the filing under Rule 53(f) of the above-identified patent application: 1. Notice to File Missing Parts	Series Cod	e û Ser	ial No. û	Cea	•	M#	Client Ref			
Hon. Commisioner of Patents Washington,DC 20231 Sir: The following completes the filing under Rule 53(f) of the above-identified patent application: 1. Notice to File Missing Parts	Filed: October 4, 2000									
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Sir: The following completes the filing under Rule 53(f) of the above-identified patent application: 1. Notice to File Missing Parts	Hon. Commisioner of Pat	ents		Date:	January 4, 2	2001				
The following completes the filing under Rule 53(f) of the above-identified patent application: 1. Notice to File Missing Parts	Washington, DC 20231									
The following completes the filing under Rule 53(f) of the above-identified patent application: 1. Notice to File Missing Parts	O:m.									
1. Notice to File Missing Parts		nnletes the fili	na under Rule 53	R(f) of the ab	ove-identifie	ed patent applic	cation:			
2. Signed Declaration attached. Original Facsimile/Copy (Always "X" box 2 if filling signed Declaration and "X" box 2A only if top box of the Declaration is X'd and file application copy, or "X" box 2B only if none of the top three boxes of the Declaration is X'd.) 2A. Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date. 2B. The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration. 3. Specification originally filed in non-English language; hence verified translation attached of: a. Abstract b. # 48 pages of Specification(only spec. & claims) c. Drawing(s) 13 No of Sheets Fig(s). 1-15 4. Letter filing formal drawing attached. 5. Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned. 6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s): Application No. Filing Date Application No. Filing Dat (1) PCT/JP00/03365 May 25, 2000 (2) (3)	the following <u>con</u>	ipietes the im	ing under itale of	7(1) OF THE AB	ovo laorilin	sa patoni appii	Janon <u>.</u>			
(Always "X" box 2 if filling signed Declaration and "X" box 2A only if top box of the Declaration is X'd and file application copy, or "X" box 2B only if none of the top three boxes of the Declaration is X'd.) 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date. 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration. 3. ☑ Specification originally filed in non-English language; hence verified translation attached of: a. ☑ Abstract b. ☐ #48 ☐ pages of Specification(only spec. & claims) c. ☑ Drawing(s) ☐ 13 No of Sheets ☑ Fig(s). ☐ 1 - 15 4. ☐ Letter filing formal drawing attached. 5. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned. 6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s): Application No. Filing Date Application No. Filing Dat (1) PCT/JP00/03365 May 25, 2000 (2) (3)	1. Notice to File Miss	ing Parts	□ copy attach □ c	ched	not yet r	eceived				
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10. Small Entity Status Ø11. ☐ Attached:	⊠ is <u>N</u>	<u>lot</u> claimed	— _	d (file PAT-256 if that its status)	this is the first	claim of
12. Preliminary Amendm	ent:					
THE FOLLOWING FIL	ING FEE IS BA	ASED ON CLA	IMS AS FILED	LESS ANY ABO	VE CANCELL	.ED
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13. Basic Filing Fee		Des	ign Application	\$320/\$160		106/26
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14. Total Effective Claims	30	minus 20 =	10	x \$18/\$9	+180	103/203
15. Independent Claims	3	minus 3 =	0	x \$80/\$40	+0	102/202
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	22. If "assignment" box 5 is X'd, add recording fee					581
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CHARGE STATEMENT: The Commis		thorized to charge		vauthorized hereafter	· or any missing c	or insufficient
fee(s) filed, or asserted to be filed, or						
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Tel: (202) 861-3000				Tel:	(202) 86	11-30/0
Atty/Sec: GJP/mhn NOTE: F	il in duplicat	e with PTO red	ceipt (PAT-103/	A) and attachme	nts	